

# The Validation and Falsification of Chiral Nuclear Forces

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# INTRODUCTION

# Error Analysis and Nuclear Structure

- What is the predictive power of theoretical nuclear physics ?

INPUT from Experiment  $\rightarrow$  CALCULATION  $\rightarrow$  OUTPUT vs Experiment

- Experiment much more precise than theory, but how much ?

$$\Delta M^{\text{exp}} < 1\text{KeV} \ll \Delta M^{\text{th}} = ?$$

- Theoretical Predictive Power Flow: From light to heavy nuclei

$$H(A) = T + V_{2N} + V_{3N} + V_{4N} + \dots \rightarrow E_2, E_3, E_4, \dots$$

- Chiral expansion allows to compute  $V_{2N}, V_{3N}, V_{4N} \dots$  systematically so that one has the hierarchy

$$V_{2N} \gg V_{3N} \gg V_{4N} \gg \dots$$

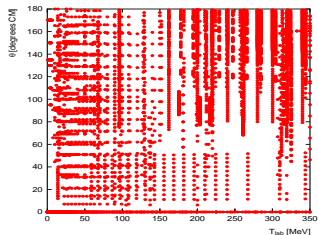
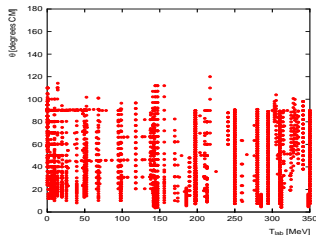
- Forces depend on the renormalization scale allowing to adjust  $B_2, B_3, \dots$

# Sources of uncertainties/bias

- Input data from experiment
- Choose the form of the potential
- How to estimate theoretical errors based on INPUT data

$$INPUT = NN, 3N, \dots \rightarrow OUTPUT = 4N, \dots$$

- First Step: INPUT=NN scattering data
- OUTPUT=NN scattering amplitudes



# The issue of predictive power in Chiral Approach

- Chiral forces are UNIVERSAL at long distances

$$V^X(r) = V^\pi(r) + V^{2\pi}(r) + V^{3\pi}(r) + \dots \quad r \gg r_c$$

- Chiral forces are SINGULAR at short distances

$$V^X(r) = \frac{a_1}{f_\pi^2 r^3} + \frac{a_2}{f_\pi^4 r^5} + \frac{a_3}{f_\pi^6 r^7} + \dots \quad r \ll r_c$$

- They trade model independence for regulator dependence
- What is the best theoretical accuracy we can get within “reasonable” cut-offs ?
- What is a reasonable cut-off ?

$$r_c = ?$$

## THE PROBLEM

- GOAL: Estimate uncertainties from IGNORANCE of NN,3N,4N interaction  
Reduce computational cost
- Statistical Uncertainties: NN,3N,4N Data  
Data abundance bias
- Systematic Uncertainties: NN,3N,4N potential  
Many forms of potentials possible
- Confidence level of Imperfect theories vs Perfect experiments

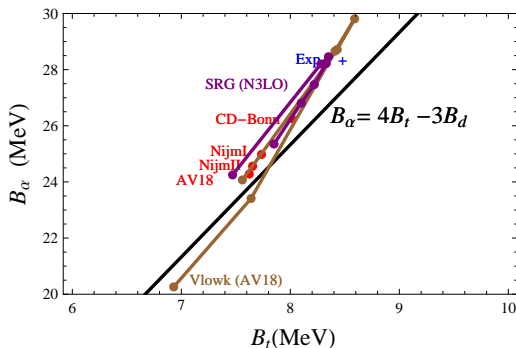
## OUR APPROACH

- Start with NN
- Fit data WITH ERRORS with a simple interaction
- Compare different interactions
- Estimate uncertainties of Effective Interactions and Matrix elements
- Propagate errors to  $A=3,4$ , etc.



# Tjon-Line Correlation

- $\alpha$ -triton calculations find a linear correlation between



- SRG argument with on-shell nuclear forces

Timoteo, Szpigel, ERA, Few Body 2013

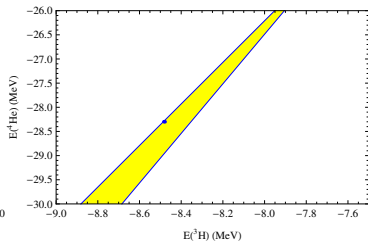
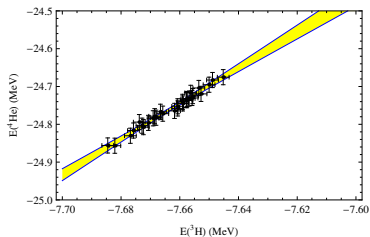
$$B_\alpha = 4B_t - 3B_d \quad \rightarrow \quad \left. \frac{\partial B_\alpha}{\partial B_t} \right|_{B_d} = 4$$

# Tjon-Lines: numerical accuracy

(with A. Nogga)

$$\Delta E_{\text{triton}}^{\text{stat}} = 15\text{KeV}$$

$$\Delta E_{\alpha}^{\text{stat}} = 50\text{KeV}$$



- 4-Body forces are masked by numerical noise in the 3 and 4 body calculation if

$$\Delta_t^{\text{num}} > 1\text{KeV}$$

$$\Delta_t^{\text{num}} > 20\text{KeV}$$

# The question and its consequences

- We have  $N$  DATA with UNCERTAINTIES

$$O_1 \pm \Delta O_1 \quad \dots \quad O_N \pm \Delta O_N$$

- We have a theory depending on  $M$ -PARAMETERS

$$O_1(p_1, \dots, p_M) \quad \dots \quad O_N(p_1, \dots, p_M)$$

- Does theory EXPLAIN data ?

YES (Validate)

NO (Falsify)

- Statistical Answer:

If uncertainties are a gaussian distribution

$$O_i^{\text{exp}} = O_i^{\text{th}} + \xi_i \Delta O_i$$

Define the LEAST SQUARES SUM

$$\chi_{\min}^2 \equiv \min_{p_1, \dots, p_M} \sum_{i=1}^N \left[ \frac{O_i(p_1, \dots, p_M) - O_i^{\text{exp}}}{\Delta O_i} \right]^2$$

The probability  $p$  that the theory explains the DATA is  $> 68\%$  if

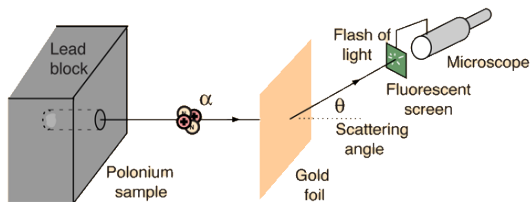
$$\frac{\chi_{\min}^2}{\nu} = 1 \pm \sqrt{\frac{2}{\nu}} \quad \nu = N - M \quad \text{d.o.f (degrees of freedom)}$$

# Theory versus experiment

- A good theory can tell us what experiments are wrong
- A good experiment can tell us what theories are wrong
  - ① Assume theory AND experiment to be correct
  - ② If we find no contradiction we validate theory and experiment
  - ③ Experiment , finite number of data, finite precision
  - ④ Theory , approximations
- Important questions
  - ① Does QCD describe hadronic interactions ?
  - ② Does ChPT describe low energy hadronic interactions ?
- Confidence level (statistics)  
Example: **AB scattering is described by scheme S with 68 percent confidence**

# SCATTERING

# Scattering experiment



- Scattering experiments measure FORCES
- Counting rates

$$\frac{R^2 N_{\text{out}}(\theta, \phi)}{N_{\text{in}}} \rightarrow \sigma(\theta, E) \equiv \frac{d\sigma}{d\Omega} = |f(\theta, \phi)|^2$$

- Local Normalization

$$\sigma(\theta, E) \xrightarrow[\theta \ll 1]{} \sigma_{\text{Ruth}}(\theta, E)$$

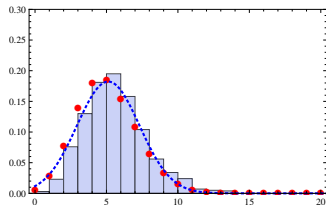
- Total Normalization (mean free path, no Coulomb)

$$l = \frac{1}{n\sigma_T} \quad , \quad \sigma_T = \int d\Omega \frac{d\sigma}{d\Omega}$$

# Counting statistics

- Binomial distribution ( $p$  scattering probability)

$$P_{N,k} = p^k (1-p)^{N-k} \binom{N}{k}, \quad \langle k \rangle = Np, \quad (\Delta k)^2 = \langle k^2 \rangle - \langle k \rangle^2 = Np(1-p)$$



- Binomial  $\rightarrow$  Poisson  $\rightarrow$  Gauss

$$P_{N,k} \xrightarrow[p \ll 1]{} \frac{e^{-Np} (Np)^k}{k!}$$
$$\xrightarrow[k \gg 1]{} \frac{e^{-(k-Np)^2/2}}{\sqrt{2\pi} \Delta k}$$

$p = 0.1 \quad N = 50$

- $N_{\text{out}} = \bar{N}_{\text{out}} \pm \Delta N_{\text{out}}, \quad \Delta N_{\text{out}} = \sqrt{\bar{N}_{\text{out}}}$

- $\sigma(\theta, E)$  is Gauss distributed

$$\sigma(\theta, E) = \bar{\sigma}(\theta, E) \pm \Delta(\theta, E)$$

# Partial wave expansion (No spin)

- Scattering amplitude

$$f(\theta, \phi) = \sum_{l=0}^{\infty} (2l+1) \frac{e^{2i\delta_l} - 1}{2ip} P_l(\cos\theta) \quad , \quad E = \frac{p^2}{2\mu}$$

- Schrödinger Equation

$$\left[ -\frac{\nabla^2}{2\mu} + V(\vec{x}) \right] \Psi(\vec{x}) = E\Psi(\vec{x})$$

- Spherical symmetry  $V(r)$

$$\Psi(\vec{x}) = \frac{u_l(r)}{r} Y_{lm}(\hat{x})$$

- Reduced radial equation

$$-u_l''(r) + \left[ \frac{l(l+1)}{r^2} + 2\mu V(r) \right] u_l(r) = p^2 u_l(r)$$

- Asymptotic conditions

$$u_l(r) \underset{r \rightarrow 0}{\rightarrow} r^{l+1} \quad , \quad u_l(r) \underset{r \rightarrow \infty}{\rightarrow} \sin\left(pr - \frac{l\pi}{2} + \delta_l\right)$$

- GOAL : Determine  $V(r) \pm \Delta V(r)$



# Finite range forces

- Meson exchange picture  $\rightarrow$  Longest range  $\equiv$  Lightest particle

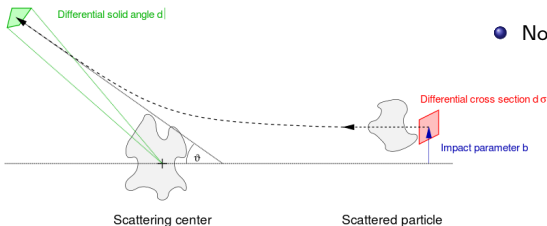
$$r_c \sim \frac{\hbar}{m_\pi c} \sim 1.4\text{fm}$$

- Impact parameter

$$|\vec{L}| = |\vec{x} \wedge \vec{p}| \rightarrow bp \quad L^2 = l(l+1)^2 \sim (l+1/2)^2 \rightarrow l + \frac{1}{2} = bp$$

- No scattering condition

$$\begin{aligned} V(r) &\sim 0 & r \gtrsim r_c \\ \delta_l(p) &\sim 0 & b \gtrsim r_c \\ \rightarrow l_{\max} + \frac{1}{2} &\sim pr_c \sim p/m_\pi \end{aligned}$$



- Truncation in the partial wave expansion

$$f(\theta, \phi) = \sum_{l=0}^{l_{\max}} (2l+1) \frac{e^{2i\delta_l} - 1}{2in} P_l(\cos \theta) = \frac{e^{2i\delta_0} - 1}{2in} + 3 \frac{e^{2i\delta_1} - 1}{2in} \cos \theta + \dots$$

# FITTING

# Single energy fits

- Complete data in a GIVEN energy  $E$

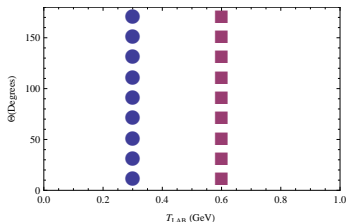
$$\sigma(\theta, E) \rightarrow \sigma(\theta_1, E), \dots, \sigma(\theta_N, E)$$

- Fitting function  $\rightarrow$  Fitting parameters  $\delta_1(E), \dots, \delta_l(E)$

$$\chi^2(\delta_1(E), \dots, \delta_l(E), \nu) = \sum_{i=1}^N \left[ \frac{\sigma^{\text{exp}}(\theta_i, E) - \nu \sigma^{\text{th}}(\theta_i, \delta_1(E), \dots, \delta_l(E))}{\Delta \sigma(\theta_i, E)} \right]^2 + \left( \frac{1 - \nu}{\Delta \nu} \right)^2$$

- Normalization is COMMON for ONE energy
- Phase-shifts are “experimental” and MODEL INDEPENDENT

$$\delta_l^{\text{exp}}(E) \pm \Delta \delta_l^{\text{exp}}(E) \quad , \quad l = 0, \dots, l_{\text{max}}$$



# Multienergy analysis

- Incomplete Data in several energies and angles

$$\sigma(\theta, E) \rightarrow \sigma(\theta_1, E_1), \dots, \sigma(\theta_N, E_N)$$

- The need for interpolation (Smoothness in  $(\theta, E)$ )
- Fitting function *to* Fitting MODEL DEPENDENT parameters  $\mathbf{p} = (p_1, \dots, p_M)$

$$\chi^2(\mathbf{p}, \nu) = \sum_{i=1}^N \left[ \frac{\sigma(\theta_i, E_i)^{\text{exp}} - \nu \sigma^{\text{th}}(\theta_i, E_i, \mathbf{p})}{\Delta\sigma(\theta_i, E_i)} \right]^2 + \left( \frac{1 - \nu}{\Delta\nu} \right)^2$$

- The statement

$$\sigma(\theta_i, E_i)^{\text{exp}} = \nu_0 \sigma^{\text{th}}(\theta_i, E_i, \mathbf{p}_0) \pm \Delta\sigma(\theta_i, E_i)$$

- Too large  $\chi^2/\nu$ 
  - Bad model  $\rightarrow$  SELECT MODEL
  - Bad data  $\rightarrow$  SELECT DATA
  - Bad model and data

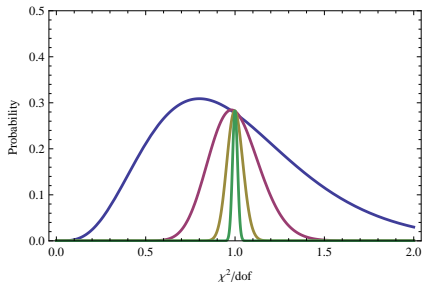
# The $\chi^2$ -test

- If  $\xi_n \in N(0, 1)$

$$P_\nu(\chi^2) = \prod_{n=1}^N \left( \int_{-\infty}^{\infty} d\xi_i \frac{e^{-\xi_i^2/2}}{\sqrt{2\pi}} \right) \delta(\chi^2 - \sum_{n=1}^N \xi_n^2) = \frac{e^{-\chi^2} \chi^{\nu-2}}{2^{\nu/2} \Gamma(\frac{\nu}{2})}$$

- Mean and Variance

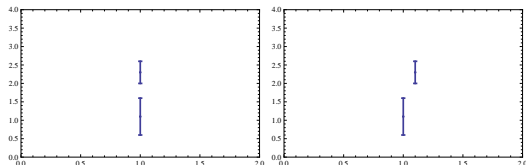
$$\langle \chi^2 \rangle = \nu, \quad \langle (\chi^2 - \langle \chi^2 \rangle)^2 \rangle = 2\nu^2, \quad \rightarrow \chi^2 = \nu \pm \sqrt{2\nu}$$



$\nu$	$\chi^2/\nu$ (68%)
10	$1 \pm 0.447$
100	$1 \pm 0.141$
1000	$1 \pm 0.044$
10000	$1 \pm 0.014$

# The need for selection

- Example: THE SAID DATABASE
  - PP Data No=25188  $\chi^2 = 48225.043$  (TLAB  $\leq 3$  GeV)
  - NP Data No=12962  $\chi^2 = 26079.973$  (TLAB  $\leq 3$  GeV)
  - $\pi$ N 41926 Chi2= 166585.05 (TLAB  $\leq 3$  GeV)
  - $\pi$ N 2599 Chi2= 4586.26 (TLAB  $\leq 300$ )
  - $\pi$ N 1355 Chi2= 2600.75 ( $10 \leq$  TLAB  $\leq 70$ )
- Which experiments are INCOMPATIBLE ?

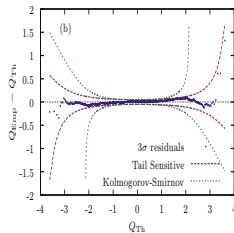
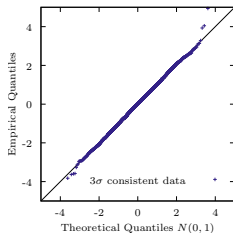
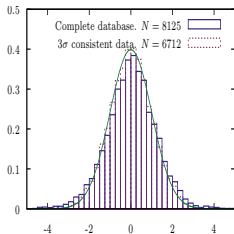


- Contribution the  $\chi^2$  will be large (discard BOTH ?)
- If errorbar includes BOTH no contribution to the  $\chi^2$
- Incompatibility is DESTRUCTIVE
- Real physical effect ?

# Granada-2013 np+pp database

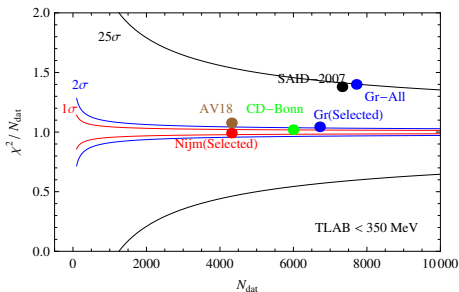
## Selection criterium

- Mutually incompatible data. Which experiment is correct? Is any of the two correct?
- Maximization of experimental consensus
- Exclude data sets inconsistent with the rest of the database
  - 1 Fit to all data ( $\chi^2/\nu > 1$ )
  - 2 Remove data sets with improbably high or low  $\chi^2$  ( $3\sigma$  criterion)
  - 3 Refit parameters
  - 4 Re-apply  $3\sigma$  criterion to all data
  - 5 Repeat until no more data is excluded or recovered



# To believe or not to believe

$N_{\text{data}}$	HJ62	Reid68	TR575	Paris80	Urb81	Arg84	BonnR	Bonn89	Nijm93
1787	13.5	2.9	3.4	4.5	6.0	7615	1090	25.5	1.8



$$\chi_{\min}^2 / \nu = 1 \pm \sqrt{2/\nu}$$

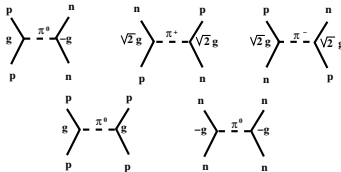
- Charge dependence in OPE
- Magnetic-Moments, Vacuum polarization, ...



# STATISTICAL CONSEQUENCES

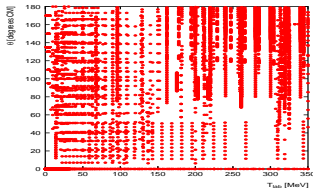
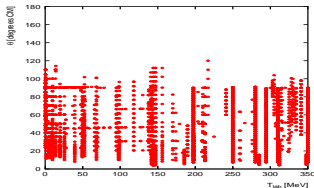
# Long distances

- Nucleons exchange JUST one pion



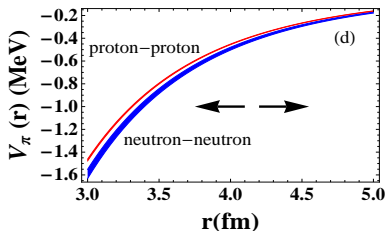
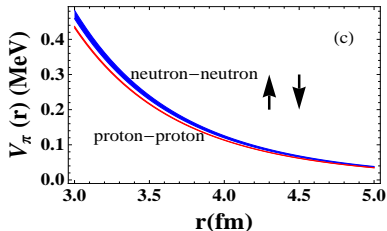
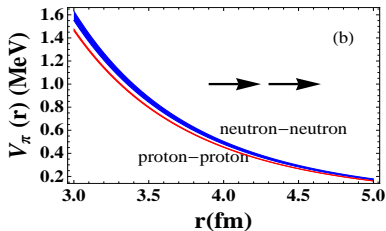
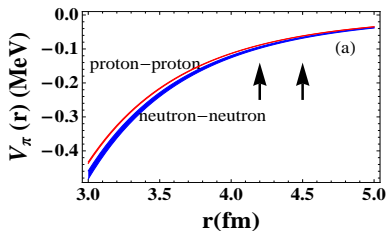
- Low energies (about pion production) 8000 pp + np scattering data (polarizations etc.)
- Granada coarse grained analysis (2016) (isospin breaking !!)

$$g_p^2/(4\pi) = 13.72(7) \neq g_n^2/(4\pi) = 14.91(39) \neq g_c^2/(4\pi) = 13.81(11) \quad \chi^2/\nu = 1.02$$

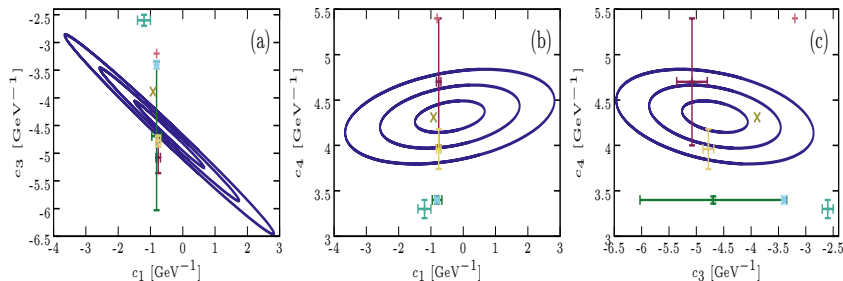


# Neutron-Neutron vs Proton-Proton (Polarized)

nn interaction is more intense than pp interaction



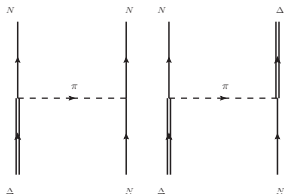
# Chiral Two Pion Exchange from Granada-2013 np+pp database



Compatible to Roy-Steiner

$$c_1 = 1.11 \pm 0.03 \quad c_2 = 3.13 \pm 0.03 \quad c_3 = 5.61 \pm 0.06 \quad c_4 = 4.26 \pm 0.04$$

# $f_{\pi N\Delta}$ from Granada-2013 np+pp database



- NN potential in the Born-Oppenheimer approximation

$$\bar{V}_{NN,NN}^{1\pi+2\pi+\dots}(\mathbf{r}) = V_{NN,NN}^{1\pi}(\mathbf{r}) + 2 \frac{|V_{NN,N\Delta}^{1\pi}(\mathbf{r})|^2}{M_N - M_\Delta} + \frac{1}{2} \frac{|V_{NN,\Delta\Delta}^{1\pi}(\mathbf{r})|^2}{M_N - M_\Delta} + \mathcal{O}(V^3),$$

- Bulk of TWO-Pion Exchange Chiral forces reproduced
- Fit with  $r_e = 1.8\text{fm}$  to  $N = 6713pp + np$  scattering data

$$f_{\pi N\Delta}/f_{\pi NN} = 2.178(14) \quad \chi^2/\nu = 1.12 \rightarrow h_A = 1.397(9)$$

# Frequentist or Bayes ?

- Frequentist: What is the probability that given a theory the data are correct ?
- Bayesian: What is the probability that given the data the theory is correct ? (priors, random parameters)

$$\chi_{\text{augmented}}^2 \rightarrow \chi_{\text{data}}^2 + \chi_{\text{parameters}}^2$$

- Both approaches agree for  $N_{\text{Data}} \gg N_{\text{Parameters}}$ . We have  $N_{\text{Dat}} \sim 7000$  and  $N_{\text{Par}} = 40$

# Maximal energy vs shortest distance

- The full potential is separated into two pieces

$$V(r) = V_{\text{short}}(r)\theta(r_c - r) + V_{\text{long}}^{\chi}(r)\theta(r - r_c)$$

- Data are fitted up to a maximal  $T_{\text{LAB}}$

$$T_{\text{LAB}} \leq \max T_{\text{LAB}} \leftrightarrow p_{\text{CM}} \leq \Lambda$$

Max $T_{\text{LAB}}$ MeV	$r_c$ fm	$c_1$ GeV $^{-1}$	$c_3$ GeV $^{-1}$	$c_4$ GeV $^{-1}$	Highest counterterm	$\chi^2/\nu$
350	1.8	-0.4(11)	-4.7(6)	4.3(2)	$F$	1.08
350	1.2	-9.8(2)	0.3(1)	2.84(5)	$F$	1.26
125	1.8	-0.3(29)	-5.8(16)	4.2(7)	$D$	1.03
125	1.2	-0.92	-3.89	4.31	$P$	1.70
125	1.2	-14.9(6)	2.7(2)	3.51(9)	$P$	1.05

- $D$ -waves, forbidden by Weinberg counting, are indispensable !
- Data and  $\chi$ -N2LO do not support  $r_c < 1.8\text{fm}$  !  
(Several  $\chi$ -potentials take  $r_c = 0.9 - 1.1\text{fm}$ )

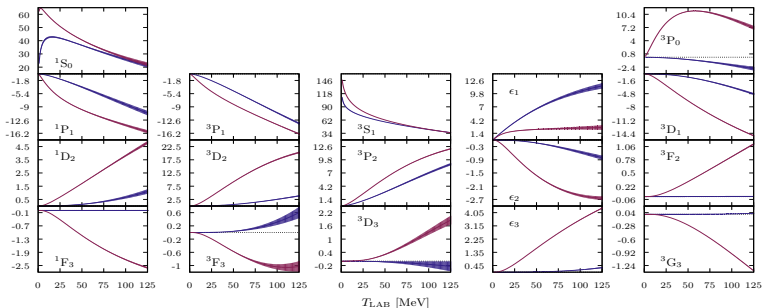
# Deconstructing chiral forces

- The full potential is separated into two pieces

$$V(r) = V_{\text{short}}(r)\theta(r_c - r) + V_{\text{long}}^X(r)\theta(r - r_c)$$

- Under what conditions are the short distance phases compatible with zero

$$|\delta_{\text{short}}| \leq \Delta\delta \quad r_c = 1.8\text{fm}$$



- This is equivalent to set counterterms in given partial waves directly to zero

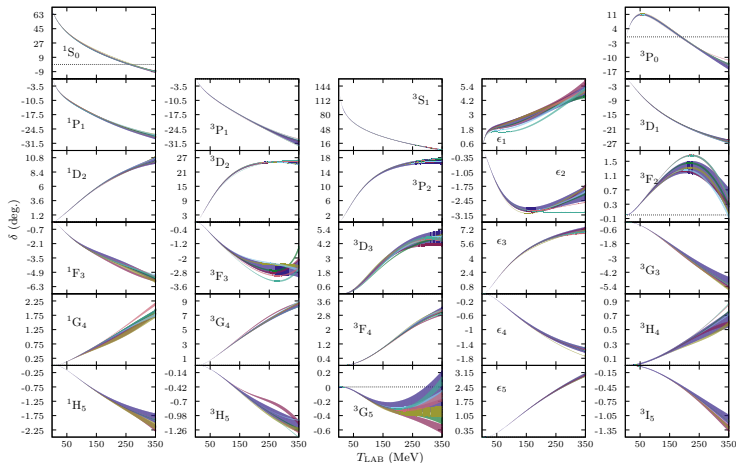
$$\delta_{\text{short}} = 0 \leftrightarrow C_{\text{short}} = 0$$



# PERIPHERAL TESTS

# Statistical vs Systematics

Statistically equivalent interactions with  $\chi_{\min}^2/\nu = 1 \pm \sqrt{2/\nu}$  DO NOT overlap



# Effective Elementary

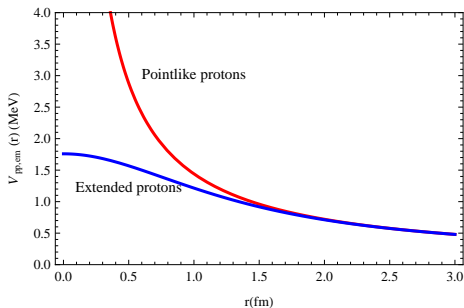
When are two protons interacting as point-like particles ?

- Electromagnetic Form factor

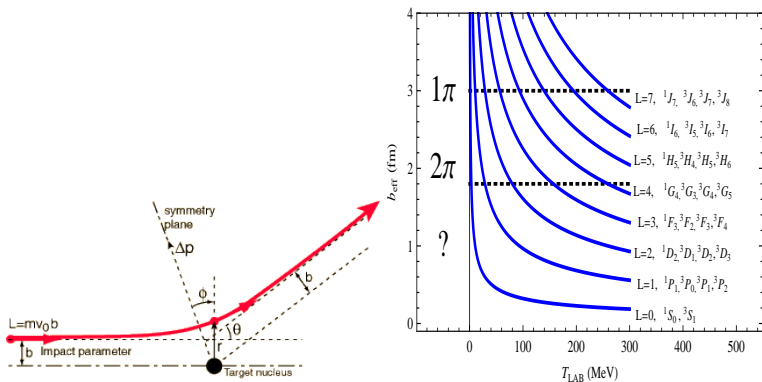
$$F_i(q) = \int d^3r e^{iq \cdot r} \rho_i(r)$$

- Electrostatic interaction

$$V_{pp}^{\text{el}}(r) = e^2 \int d^3r_1 d^3r_2 \frac{\rho_p(r_1)\rho_p(r_2)}{|\vec{r}_1 - \vec{r}_2 - \vec{r}|} \rightarrow \frac{e^2}{r} \quad r > r_e \sim 2\text{fm}$$



# Impact parameter



- Angular momentum conservation

$$L = bp \quad L^2 = \hbar l(l+1) \approx \left(l + \frac{1}{2}\right)^2 \quad p = \hbar k$$

- Statistical

$$\xi^i|_{\text{stat}} = \frac{\Delta^i - \Delta_{\text{Gr}}}{\Delta(\Delta_{\text{Gr}})} = \frac{\delta^i - \delta_{\text{Gr}}}{\Delta\delta_{\text{Gr}}}, \quad (1)$$

- Systematic ( 6 Gr-potentials , 6 Gr+7 HQ (Nijm, CD-Bonn,..)

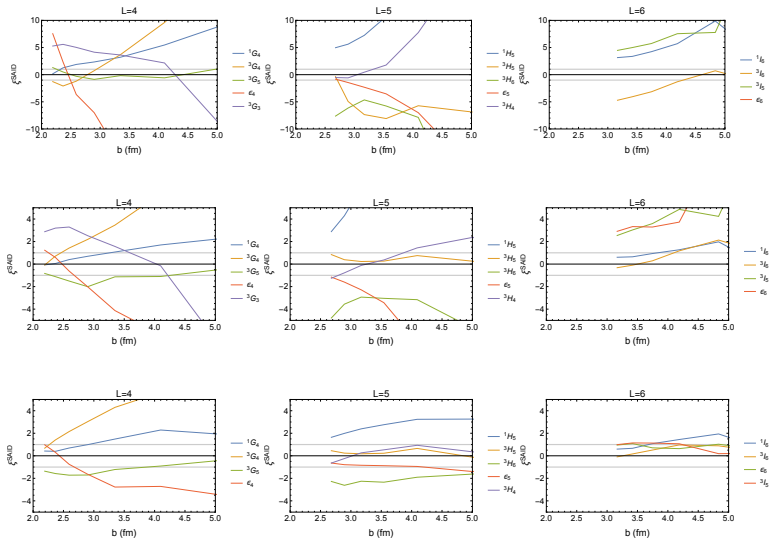
$$\xi^i|_{\text{sys}} = \frac{\Delta^i - \text{Mean}(\Delta)}{\text{Std}(\Delta)} = \frac{\delta^i - \text{Mean}(\delta)}{\text{Std}(\delta)}, \quad (2)$$

- Prob. of *not* being an outlier.

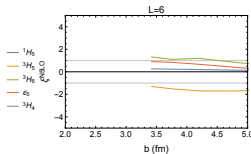
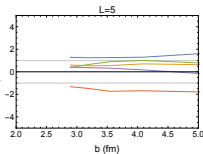
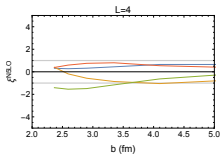
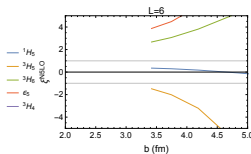
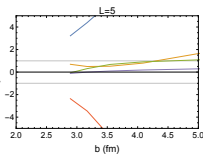
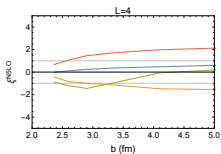
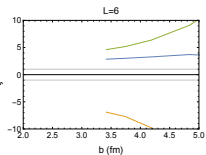
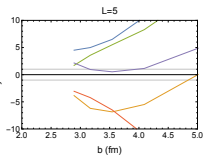
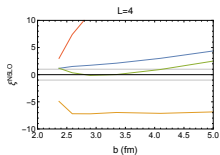
$$p(|\xi| > |\xi_0|) = 1 - \int_{-\xi_0}^{\xi_0} dx \frac{e^{-x^2/2}}{\sqrt{2\pi}}. \quad (3)$$

- $\xi_0 = 1, 2, 3$  for  $p = 0.32, 0.05, 0.01$

# SAID peripheral waves



# N5LO peripheral waves



# To count or not to count: The Falsification of Chiral Forces

- We can fit CHIRAL forces to ANY energy and look if counterterms are compatible with zero within errors
- We find that if  $E_{\text{LAB}} \leq 125\text{MeV}$  Weinberg counting is INCOMPATIBLE with data.
- You have to promote D-wave counterterms.  
N2LO-Chiral TPE + N3LO-Counterterms  $\rightarrow$  Residuals are normal  
[Piarulli,Girlanda,Schiavilla,Navarro Pérez,Amaro,RA, PRC](#)
- We find that if  $E_{\text{LAB}} \leq 40\text{MeV}$  TPE is INVISIBLE
- We find that peripheral waves predicted by 6th-order chiral perturbation theory ARE NOT consistent with data within uncertainties

$$|\delta^{\text{Ch,N5LO}} - \delta^{\text{PWA}}| > \Delta\delta^{\text{PWA,stat}}$$

5  $\sigma$  incompatible