# <sup>7</sup>Be(p,γ)<sup>8</sup>B: how EFT and Bayesian analysis can improve a reaction calculation

**Daniel Phillips** 

Work done in collaboration with: K. Nollett (SDSU), X. Zhang (UW)

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- Key for predicting flux of solar neutrinos, especially highenergy (<sup>8</sup>B) neutrinos



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- Key for predicting flux of solar neutrinos, especially highenergy (<sup>8</sup>B) neutrinos
- Accurate knowledge of <sup>7</sup>Be(p, y) needed for inferences from solar-neutrino flux regarding solar composition → solar-system formation history



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- El capture: <sup>7</sup>Be +  $p \rightarrow {}^8B + \gamma$
- Energies of relevance 20 keV



### Outline

- <sup>7</sup>Be +  $p \rightarrow {}^{8}B$  +  $\gamma$  is an important extrapolation problem
- What parameters govern the extrapolation? What is the standard extrapolation method?
- A more reliable extrapolant from Halo Effective Field Theory
- NLO Halo EFT + Bayesian analysis → a better extrapolation
- Summary and future work

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Bound state (ANC & y\_1)  
+ Coulomb
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Extrapolation is not a polynomial: non-analyticities in p/kc, p/ $\gamma_1$ , and p a  
Sub-leading polynomial behavior in E/Ecore  
Bound state (ANC &  $\gamma_1$ )  
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## Status as of 2012

- Below narrow I<sup>+</sup> resonance proceeds via s- and d-wave direct EI capture
- Energy dependence due to interplay of Coulomb and strong forces
- "Solar fusion II": community evaluation of cross sections relevant for pp and CNO cycles

Adelberger et al., Rev. Mod. Phys. 83, 195 (2011)



SF II value: S(0)=20.8±0.7±1.4 eV b

SF I: S(0)=19+4-2 eV b

Used energy dependence from a "best" calculation. Errors from consideration of energy-dependence in a variety of "reasonable models"

## **Effective Field Theory**

- Simpler theory that reproduces results of full theory at long distances
- Short-distance details irrelevant for long-distance (low-momentum) physics, e.g., multipole expansion
- Expansion in ratio of physical scales:  $p/\Lambda_b = \lambda_b/r$
- Symmetries of underlying theory limit possibilities: all possible terms up to a given order present in EFT
- Short distances: unknown coefficients at a given order in the expansion need to be determined. Symmetry relates their impact on different processes
- Examples: standard model, chiral EFT, Halo EFT

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  Error grows as first omitted term in expansion

## Halo EFT



## Halo EFT



• Define  $R_{halo} = \langle r^2 \rangle^{1/2}$ . Seek EFT expansion in  $R_{core}/R_{halo}$ . Valid for  $\lambda \leq R_{halo}$ 

- Typically R=R<sub>core</sub>~2 fm.And since <r<sup>2</sup>> is related to the neutron separation energy we are looking for systems with neutron separation energies less than I MeV
- By this definition the deuteron is the lightest halo nucleus, and the pionless EFT for few-nucleon systems is a specific case of halo EFT

#### p-wave bound states and capture thereto

Hammer & DP, NPA (2011)

At LO: p-wave In halo described solely by its ANC and binding energy

$$u_1(r) = A_1 \exp(-\gamma_1 r) \left(1 + \frac{1}{\gamma_1 r}\right) \qquad \gamma_1 = \sqrt{2m_R B}$$

Capture to the p-wave state proceeds via the one-body EI operator:
 "external direct capture"

E1 
$$\propto \int_0^\infty dr \, u_0(r) r u_1(r); \quad u_0(r) = 1 - \frac{r}{a}$$

■ NLO: piece of the amplitude representing capture at short distances, represented by a contact operator ⇒ there is an LEC that must be fit



Zhang, Nollett, DP, Phys. Rev. C 89, 051602 (2014); Ryberg, Forssen, Hammer, Platter, EPJA (2014)

In this system  $R_{core} \sim 3$  fm,  $R_{halo} \sim 15$  fm; scale of Coulomb interactions:  $k_C = Q_c Q_n \alpha_{EM} M_R = 24$  MeV;  $a \sim 10$  fm, both also  $\sim R_{halo}$ 

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 $P_{p,\sigma}^{c,a} \xrightarrow{k \lambda} \xrightarrow{k \lambda}$ 

Scattering wave functions are linear combinations of Coulomb wave functions
 F<sub>0</sub> and G<sub>0</sub>. Bound state wave function=the appropriate Whittaker function.

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$$S(E) = f(E) \sum_{s} C_s^2 \left[ \left| S_{\text{EC}} \left( E; \delta_s(E) \right) \right|^2 + \left| \mathcal{D}(E) \right|^2 \right].$$
 Four parameters at leading order

## Additional ingredients at NLO



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Effective ranges in both <sup>5</sup>S<sub>2</sub> and <sup>3</sup>S<sub>1</sub>: r<sub>2</sub> and r<sub>1</sub>

Core excitation: determined by ratio of <sup>8</sup>B couplings of <sup>7</sup>Be<sup>\*</sup>p and <sup>7</sup>Be-p states: E<sub>1</sub>

LECs associated with contact interaction, one each for S=I and S=2: L<sub>1</sub> and L<sub>2</sub>

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## Data for <sup>7</sup>Be + $p \rightarrow {}^{8}B + \gamma_{EI}$

• 42 data points for 100 keV <  $E_{c.m.}$  < 500 keV

- Junghans (BEI and BE3)
- Fillipone
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- Subtract MI resonance: negligible impact at 500 keV and below
- Deal with CMEs by introducing five additional parameters,  $\xi_i$

 $\operatorname{pr}(\vec{g}, \{\xi_i\} | D; T; I) \propto \operatorname{pr}(D | \vec{g}, \{\xi_i\}; T; I) \operatorname{pr}(\vec{g}, \{\xi_i\} | I),$ 

$$\ln \operatorname{pr} \left( D | \vec{g}, \{\xi_i\}; T; I \right) = c - \sum_{j=1}^{N} \frac{\left[ (1 - \xi_j) S(\vec{g}; E_j) - D_j \right]^2}{2\sigma_j^2},$$

Bayes:

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- Second factor: priors
  - Independent gaussian priors for  $\xi_{i,}$  centered at zero and with width=CME
  - Gaussian priors for  $a_{S=1}$  and  $a_{S=2}$ , based on Angulo et al. measurement
  - Other EFT parameters, r<sub>S=1</sub>, r<sub>S=2</sub>, L<sub>1</sub>, L<sub>2</sub>, ANCs, E<sub>1</sub>, assigned flat priors, corresponding to natural ranges
  - No s-wave resonance below 600 keV

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#### **Outputs and lessons**

- Posteriors on parameters tell us about physics: which combinations are actually constrained?
- How do we see when parameters are not well constrained?
- Extrapolation
- Does EFT truncation error at NLO affect the answer?
- Feedback with experiment: systematic errors? Future experiments?

#### Posterior plots⇒Physics

$$\operatorname{pr}(g_1, g_2 | D; T; I) = \int \operatorname{pr}(\vec{g}, \{\xi_i\} | D; T; I) \ d\xi_1 \dots d\xi_5 dg_3 \dots dg_9$$

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- ANCs are highly correlated but sum of squares strongly constrained
- One spin-1 short-distance parameter:  $0.33 \ \overline{L}_1 / (\text{fm}^{-1}) \epsilon_1$

## Another example of posterior plots



Wesolowski, Furnstahl, DP, in preparation

- Parameter estimation for a particular piece of the NN potential at N3LO in the chiral EFT expansion
- Posterior plot allows diagnosis of parameter degeneracy D<sup>1</sup>(150)-D<sup>2</sup>(150)
- Which we also understand analytically

$$\langle {}^{1}S_{0}|V_{NN}|{}^{1}S_{0}\rangle = D_{(1S0)}^{1} p^{2} p'^{2} + D_{(1S0)}^{2} (p^{4} + p'^{4})$$

$$= \frac{1}{4} (D_{(1S0)}^{1} + 2D_{(1S0)}^{2})(p^{2} + p'^{2})^{2} - \frac{1}{4} (D_{(1S0)}^{1} - 2D_{(1S0)}^{2})(p^{2} - p'^{2})^{2} ,$$

$$= \frac{1}{4} (D_{(1S0)}^{1} + 2D_{(1S0)}^{2})(p^{2} + p'^{2})^{2} - \frac{1}{4} (D_{(1S0)}^{1} - 2D_{(1S0)}^{2})(p^{2} - p'^{2})^{2} ,$$



42 data points,
7 parameters "fit" to these data,
5 ξ<sub>i</sub>,'s fixed to their mean values

Is it a "good fit"?



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- Is it a "good fit"?
- Did the experimentalists understand their systematic errors?



- Is it a "good fit"?
- Did the experimentalists understand their systematic errors?
- Are there parameters that are not well constrained by these data?



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#### Truncation error

- N2LO correction=0 (technically only in absence of excited state)
- EFT s-wave scattering corrections (shape parameter)~0.8%
- E2, MI contributions < 0.01%, Radiative corrections: ~0.1%</p>
- So first correction is at N3LO, i.e.,  $\overline{L}_i \to \overline{L}_i + k^2 \overline{L}'_i$



#### Planning improvements

Use extrapolant to simulate impact of hypothetical future data that could inform posterior pdf for S(0)



Left-to-right: 42 data points all of similar quality to Junghans et al.

A:ANC S: a<sub>S=1</sub> and a<sub>S=2</sub> L: short-distance

Note that I keV uncertainty in  $S_{1p}$  of <sup>8</sup>B may not be negligible effect

### A sneak peek at $^{3}He(^{4}He, \gamma)$



Zhang, Nollett, DP, in preparation

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### Summary

EFT provides following features for capture reactions

- Separation of long- and short-distance dynamics
- Model-independent (and in two-body case) analytic form for S(E)
- Ability to reproduce "reasonable models"
- Extrapolation problem formulated as a marginalization over models  $pr(S(0)|data, I) = \int dmodels pr(S(0)|model, I) pr(model|data, I)$
- Taking a variety of "reasonable models" and using them to extrapolate may **over**estimate the model uncertainty
- Application of Halo EFT to <sup>7</sup>Be(p,γ)<sup>8</sup>B produces new S(0), consistent with SFII, but with factor two smaller uncertainty

$$S(0) = 21.33^{+0.66}_{-0.69}$$
 eV b

## Stuff I learnt from this study

- Precise extrapolation can be done even when you don't have 10\*n data
- Model uncertainty can be accommodated, and standard methods may over-estimate it. But it helps to be dong EFT...
- Priors ultimately diagnosable: unconstrained parameters return the prior, and the results we looked at were not sensitive to different choices of prior. "Robust Bayesian Analysis"?
- Projected posterior reveals which combinations of parameters are constrained/affect this observable
- Truncation errors can be assessed
- Future experiments can be planned for maximum impact

#### Extensions, references

- Simultaneous fit to 7Be p scattering data: requires inclusion of resonances;
   "Hierarchical Bayes"
- Coulomb dissociation data?
- Same techniques applied to  ${}^{3}\text{He}({}^{4}\text{He},\gamma)$  Higa, Rupak, Vaghani, arXiv:1612.08959
- Other, and more sophisticated, examples of Bayesian Uncertainty Quantification, see BUQEYE collaboration papers
  - Quantifying uncertainties due to omitted higher-order terms
  - Bayesian parameter estimation
- Review of Halo EFT

Fursntahl, Klco, DP, Wesolowki, PRC 92, 024005 (2015) Melendez, Furnstahl, Wesolowski, arXiv:1704.03308

Wesolowski, Klco, Furnstahl, DP, Thapaliya, JPG 43, 074001 (2016)

Hammer, Ji, DP, JPG 44, 103002 (2017)

### **Backup Slides**

## Halo nuclei



http://nupecc.org

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http://nupecc.org

A halo nucleus as one in which a few (1, 2, 3, 4, ...) nucleons live at a significant distance from a nuclear core.

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- Halo nuclei are characterized by small nucleon binding energies, large interaction cross sections, large radii, large E1 transition strengths.

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Need or discuss spectroscopic factors

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#### It does:

- Connect structure and reactions, including in multi-nucleon halos
- Collect information from different theories/experiments in one calculation
- Treat same physics as cluster models, in a systematically improvable way
- Provide information on inter-dependencies of low-energy observables, including along the core + n, core + 2n, core + 3n, etc. chain

# Our approach

- S-wave (and P-wave) states generated by cn contact interactions
- No discussion of nodes, details of n-core interaction, spectroscopic factors

$$u_0(r) = A_0 \exp(-\gamma_0 r)$$

- IPC: input at LO: neutron separation energy of s-wave state.
- A<sub>0</sub> ("wave-function renormalization") can be fit at NLO.
- P-wave states require two inputs already at LO.





INp





<sup>8</sup>Li ground state is 2<sup>+</sup>: both <sup>5</sup>P<sub>2</sub> and <sup>3</sup>P<sub>2</sub> components

Zhang, Nollett, Phillips, PRC (2014) c.f. Rupak, Higa, PRL 106, 222501 (2011); Fernando, Higa, Rupak, EPJA 48, 24 (2012)

<sup>8</sup>Li first excited state: I<sup>+</sup>, bound by I.05 MeV



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- Input at LO:  $B_1=2.03$  MeV;  $B_1^*=1.05$  MeV  $\Rightarrow \gamma_1=58$  MeV;  $\gamma_1^*=42$  MeV.  $\gamma_1 \sim 1/R_{halo}$



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- Also include 1/2- excited state of <sup>7</sup>Li as explicit d.o.f.



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- VMC calculation with AV18 + UIX gives all ANCs: infer r<sub>1</sub>=-1.43 fm<sup>-1</sup>

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	A <sub>(3P2)</sub>	A <sub>(5P2)</sub>	A(3P2*)	A <sub>(3P1)</sub> *	A <sub>(5P1)</sub> *
Nollett	-0.283(12)	-0.591(12)	-0.384(6)	0.220(6)	0.197(5)
Trache	-0.284(23)	-0.593(23)		0.187(16)	0.217(13)

<sup>7</sup>Li ground state is 3/2-: S-wave n scattering in <sup>5</sup>S<sub>2</sub> and <sup>3</sup>S<sub>1</sub>



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• LO calculation: S=2 (with ISI) and S=1 into P-wave bound state  $E1 \propto \int_0^\infty dr \, u_0(r) r u_1(r);$   $u_0(r) = 1 - \frac{r}{a}; u_1(r) = A_1 \exp(-\gamma_1 r) \left(1 + \frac{1}{\gamma_1 r}\right)$ 

Analysis: Zhang, Nollett, Phillips, PRC (2014) Data: Barker (1996), cf. Nagai et al. (2005)



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Dynamics predicted through ab initio input

c.f. Rupak & Higa arXiv:1101.0207

Straightforward computation of diagrams yields:

$$\frac{d\mathbf{B}(\mathbf{E1})}{dE} = e^2 Z_{eff}^2 \frac{m_R}{2\pi^2} A_0^2 \left( \frac{p'^3 [2p'^3 \cot(\delta^{(1/2)}(p')) + \gamma_0^3 + 3\gamma_0 p'^2]^2}{[p'^6 + p'^6 \cot^2(\delta^{(1/2)}(p'))](p'^2 + \gamma_0^2)^4} + \frac{8p'^3}{(p'^2 + \gamma_0^2)^4} \right)$$

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Spin-1/2 channel
Spin-3/2 channe

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Wf renormalization

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$$\frac{V}{V} f \text{ renormalization} \qquad 2P_{1/2} \text{-wave FSI}$$

$$\cdot \text{ Higher-order corrections to phase shift at NNLO. Appearance of S-to-2P_{1/2} E1 counterterm also at that order.}$$

#### Lagrangian: shallow S- and P-states

$$\mathcal{L} = c^{\dagger} \left( i\partial_{t} + \frac{\nabla^{2}}{2M} \right) c + n^{\dagger} \left( i\partial_{t} + \frac{\nabla^{2}}{2m} \right) n$$
  
+  $\sigma^{\dagger} \left[ \eta_{0} \left( i\partial_{t} + \frac{\nabla^{2}}{2M_{nc}} \right) + \Delta_{0} \right] \sigma + \pi^{\dagger}_{j} \left[ \eta_{1} \left( i\partial_{t} + \frac{\nabla^{2}}{2M_{nc}} \right) + \Delta_{1} \right] \pi_{j}$   
-  $g_{0} \left[ \sigma n^{\dagger} c^{\dagger} + \sigma^{\dagger} nc \right] - \frac{g_{1}}{2} \left[ \pi^{\dagger}_{j} (n \ i \overleftrightarrow{\nabla}_{j} \ c) + (c^{\dagger} \ i \overleftrightarrow{\nabla}_{j} \ n^{\dagger}) \pi_{j} \right]$   
-  $\frac{g_{1}}{2} \frac{M - m}{M_{nc}} \left[ \pi^{\dagger}_{j} \ i \overrightarrow{\nabla}_{j} \ (nc) - i \overleftrightarrow{\nabla}_{j} \ (n^{\dagger} c^{\dagger}) \pi_{j} \right] + \dots,$ 

c, n:"core", "neutron" fields. c: boson, n: fermion.

- $\sigma$ ,  $\pi_j$ : S-wave and P-wave fields
- Minimal substitution generates leading EM couplings

#### Dressing the p-wave state

Bertulani, Hammer, van Kolck (2002); Bedaque, Hammer, van Kolck (2003)

Dyson equation for (cn)-system propagator

$$D_{\pi}(p) = \frac{1}{\Delta_1 + \eta_1 [p_0 - \mathbf{p}^2/(2M_{nc})] - \Sigma_{\pi}(p)}$$

- Here both  $\Delta_1$  and  $g_1$  are mandatory for renormalization at LO

$$\Sigma_{\pi}(p) = -\frac{m_R g_1^2 k^2}{6\pi} \left[\frac{3}{2}\mu + ik\right]$$

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Reproduces ERE. But here (cf. s waves) cannot take r<sub>1</sub>=0 at LO

• If 
$$a_1 > 0$$
 then pole is at  $k=i\gamma_1$  with  $B_1=\gamma_1^2/(2m_R)$ :  
 $D_{\pi}(p) = -\frac{3\pi}{m_R^2 g_1^2} \frac{2}{r_1 + 3\gamma_1} \frac{i}{p_0 - \mathbf{p}^2/(2M_{nc}) + B_1} + \text{regular}$
## Scales in the <sup>8</sup>B system

http://www.tunl.duke.edu







Zhang, Nollett, Phillips, PRC (2014) cf. Ryberg, Forssen, Hammer, Platter, EPJA (2014)

$$S(E) = f(E) \sum_{s} C_s^2 \left[ \left| \mathcal{S}_{\text{EC}} \left( E; \delta_s(E) \right) \right|^2 + \left| \mathcal{D}(E) \right|^2 \right]$$



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## Four parameters at leading order



Zhang, Nollett, Phillips, PRC (2014) cf. Ryberg, Forssen, Hammer, Platter, EPJA (2014)

# Four parameters at leading order

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	A <sub>(3P2)</sub> (fm <sup>-1/2</sup> )	A <sub>(5P2)</sub> (fm <sup>-1/2</sup> )	$a_{(S=1)}$ (fm)	a <sub>(S=2)</sub> (fm)
Nollett	-0.315(19)	-0.662(19)		
Navratil et al.	-0.294	-0.65	-5.2	-15.3
Tabacaru	-0.294(45)	-0.615(45)		
Angulo			25(9)	-7(3)

#### Proton capture on <sup>7</sup>Be at LO: results

• ANCs yield  $r_1$ =-0.34 fm<sup>-1</sup>, consistent with estimated scale  $\Lambda$ 



Sensitivity to input a<sub>S=2</sub> and a<sub>S=1</sub> at higher energies

At solar energies it's all about the ANCs

 Halo EFT is also the EFT of all the models used to extrapolate the cross section in "Solar Fusion II"

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- Halo EFT is also the EFT of all the models used to extrapolate the cross section in "Solar Fusion II"
- Differences are sub-% level between 0 and 0.5 MeV
- Size of S(0) over-predicted in all models; curves rescaled in SFII fits
- Parameters generally obey a~I/R<sub>halo</sub>, r ~R<sub>core</sub>, L~R<sub>core</sub>, as expected

$C^2_{(^3P_2)}$	$a_{(^{3}S_{1})}$	$r_{(^{3}S_{1})}$	$\varepsilon_1$	$\overline{L}_1$	$C^2_{({}^5P_2)}$	$a_{({}^{5}S_{2})}$	$r_{({}^{5}S_{2})}$	$\overline{L}_2$
0.200687	15.9977	1.18336	0	1.11587	0.533594	-10.0425	3.93347	2.68987
0.200661	24.9966	1.36338	0	1.27055	0.533456	-7.03034	5.02489	3.10464
0.200655	33.9933	1.44879	0	1.3357	0.533305	-4.02847	8.56435	4.18777
0.109001	-4.14549	6.79899	0	4.80453	0.541543	-6.9096	3.57291	3.73317

TABLE IV: The EFT parameters fitted to other models. The unit for ANC squared is fm<sup>-1</sup>, for scattering length, effective range, and  $\overline{L}_{1,2}$  are fm .  $\varepsilon_1$  is unitless. These units are implicitly

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  - Separation of long- and short-distance dynamics
  - Inclusion of ab initio information: LECs
  - Model marginalization

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  - Separation of long- and short-distance dynamics
  - Inclusion of ab initio information: LECs
  - Model marginalization
- Extrapolation problem formulated as a marginalization over models

 $pr(S(0)|data, I) = \int dmodels pr(S(0)|model, I) pr(model|data, I)$ 

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